

INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

Docket Number (Optional)

ASZD-P01-210

Application Number

To be assigned

Applicant(s)

Barry Theobald

JC13 Rec'd PCT/PTO 26 FEB 2002

Filing Date

February 26, 2002

Group Art Unit

To be assigned

U.S. PATENT DOCUMENTS

| *EXAMINER INITIAL | REF | DOCUMENT NUMBER | DATE | NAME | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE |
|----------------------|-----|-----------------|------|------|-------|----------|-------------------------------|
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FOREIGN PATENT DOCUMENTS

| | REF | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUBCLASS | Translation | |
|--|-----|-----------------|------------|---------------|-------|----------|-------------|----|
| | | | | | | | YES | NO |
| | AA | EP 0508687 A | 10/14/1992 | Europe | | | | |
| | AB | WO 9905114 A | 02/04/1999 | International | | | | |
| | AC | WO 9905143 A | 02/04/1999 | International | | | | |
| | AD | WO 0034283 A | 06/15/2000 | International | | | | |
| | | | | | | | | |

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

| | | |
|--|----|--|
| | AE | Yen-Shi Lai et al., Synthesis and Protein Kinase C Inhibitory Activities of Balanol Analogs with Replacement of the Perhydroazepine Moiety, JOURNAL OF MEDICINAL CHEMISTRY, vol. 40, no. 2, 1997, pages 226-35, XP-002162230, American Chemical Society, Washington, US, ISSN: 0022-2623, Compound 18 |
| | AF | S. E. Shaus, et al., Practical Synthesis of Enantiopure Cyclic 1,2-Amino Alcohols via Catalytic Asymmetric Ring Opening of Meso Epoxides, Journal of Organic Chemistry, vol. 62, no. 12, 1997, pages 4197-4199, XP002162231, American Chemical Society, Easton, US, ISSN 0022-3263, compounds 6 and 7. |

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

SHEET 2 OF 2